



J. G. SHAH FINANCAL CONSULTANTS PVT. LTD.
418, STOCK EXCHANGE TOWER
MUMBAI - 400001.

SEBI Reg. No. INZ000209538

COMPLAINT REGISTRATION FORM – Against Trading Member

1. General Information

A. Personal Details:

Name of the Complainant _____

Residential Address _____

(For correspondence) _____

_____ Pin code _____

City _____ State _____

Telephone no. _____ Cell no. _____

E-Mail id _____

Permanent A/c No.(PAN) _____

Unique Client Code _____

(Allotted by Trading Member)

B. Demat Account Details

DP Name _____

DP-id _____ DP A/c no.: _____

2. Trading member Particulars

Name of the Trading Member J.G Shah Financial Consultants Private Limited

SEBI Registration Number INZ000209538

3. Nature of Complaint: (please tick relevant box)

SN	Nature of complaint	CM*	SLB*
1	Non-Issuance of the Documents by the Trading Member		
2	Non-receipt of funds / securities		
3	Non-Receipt of Funds / Securities kept as margin		
4	Non-Receipt of Corporate Benefit (Dividend/Interest/Bonus/Rights etc.)		
5	Auction clarification		
6	Close out / Square up of positions		
7	Trades executed without authorization/ consent		
8	Excess Brokerage charged by Trading Member		
9	Service Related		
	a) Non/ Wrong execution of order		
	b) Opening / Closing of Account		
	c) Connectivity/ System related		
10	Others, Specify _____		

*Segment: **CM** = Capital Market, **SLB** = Shares Lending and Borrowing

