

Point no. 41 Policy of unauthentic news Circulation

As per SEBI guideline we have laid down the policy to prohibit unauthentic news circulation from our firm.

We have strictly inform all our staff members / sub-brokers not to send any sms, email, mms or by any mode to spread any rumors / heard news to any one from price sensitive information / news should be forwarded to client only after consent of any of our director to client only after consent of any of our director or compliance officer.

Our director / Compliance officer shall confirm the news with BSE/NSE/SEBI/ Government website before giving any consent or any of staff member.

Point no. 42 Redressal mechanism for Investor Grievance

Our firm has established guideline for the redressal guideline for the redressal mechanism for investor grievances. Any enquiry / grievance of the client may be received by any of our staffmember / letter / email or sms shall be search by the compliance officer with 24 hours.

The receipt of grievance shall be acknowledge to concern investor.

The compliance officer will redress the grievance with 24 hours after the receipt of grievance from various department.

The compliance officer will give all the facts of the grievance to investor and after satisfy grievance shall obtain letter from investor for satisfaction redressal of grievance.