

J. G Shah Financial Consultants Pvt. Ltd.  
Error Code Policy.

- 1) The compliance officer shall scrutinize request for code changes from any of our clients/sub-brokers/Bolt holders in consultation with the designated director.
- 2) No right by default is given to any of our Bolt holders for the code changes.
- 3) If the Compliance Officer/Designated Director satisfy and that the error is genuine then the compliance officer shall ask the authorize person to grant the code changes.
- 4) The authorize person shall give right to the respective Bolt holder during the market hour to rectify code changes.
- 5) The authorize person shall collect the request letter for code changes with full particulars and with his signature.
- 6) The authorize person shall upload the code changes in respective exchange after getting the files of code changes from the exchanges.
- 7) Error A/c. shall be opened in UCC of the exchanges.
- 8) Any transaction transferred to Error A/c. due to mistake of entering trade in the system due to the reason of error in buy/sale, script difference or price difference then on the notice of mistake, the traded transaction shall be squared up immediately.
- 9) Any profit/loss in Error A/c. shall be debit/credit to respective sub-broker's, authorize person's or client's account as per the case may be or if any error is part of office then same shall be kept in office account.

Reference Circular No. 20110706-1 dated 08/07/2011  
20110729-24 dated 29/07/2011  
20110826-4 dated 26/08/2011